

**New York State Office of the Attorney General
Charities Bureau**

Hurricane Sandy Relief Information - March 2013 Update

PLEASE NOTE: In answering the questions below, please update the information you provided in response to our earlier questionnaire. If there has been no change in the information previously provided in response to any question, please indicate "no change." However, where financial information is requested, please provide aggregate amounts to date, rather than since the date of your earlier response.

Name of Organization: WAVES FOR WATER, INC.

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| 1. | What is the dollar amount of donations and pledges that your organization has raised to date in response to Hurricane Sandy? If your organization has received any donated (in-kind) goods or services, please describe those in-kind donations and explain how you determined their value in sections (b) and (c). | |
| (a) | Category | Raised to Date |
| | Cash/cash equivalents | \$1.1M |
| | Outstanding pledges | N/A |
| | Value of goods and services (in-kind) donated to your organization | \$3M |
| (b) | Description of in-kind donations: In kind donations received by Waves For Water include individual and corporate product donations across multiple categories such as men's, women's and children's clothing; cold weather items; food; toiletries; personal care products; baby supplies; home furnishings; cleaning supplies; tools; construction materials; safety supplies; etc. | |
| (c) | Explanation of how in-kind donations were valued: As part of the Waves For Water Sandy Relief Initiative, we established two warehouses, both in NY and in NJ, to serve as our hubs for donations (and supplies) storage and distribution to our support relief centers and Sandy victims. In order to be able to inventory and value the in-kind donations received, we created a W4W Routing Guide used by our staff, and a specific packing list and bill of lading, mandatorily filled up by all donors. These documents respectively outline the shipping and warehouse procedures, the in-house protocol for all donations allowing our staff to stay on top of donations management, and provide us with a description of the items donated, their quantity as well as estimated value thanks to packing list filled up by the donors. All donations are counted and catalogued upon reception at our warehouses, which allows us to record their total quantities and estimated values and keep the general inventory up-to-date. | |
| 2. | Are the amounts reported above being used solely for Hurricane Sandy relief? If not, | |

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| | please indicate the portion of those funds that have or will be used for other purposes and describe those purposes. | |
| | All monetary and in-kind donations collected by Waves For Water Hurricane Sandy Relief Initiative are used solely for our Sandy relief efforts. | |
| 3. | How much has your organization spent or disbursed on Hurricane Sandy relief to date? | |
| (a) | Amount: \$800K | |
| (b) | Please detail the above amount by completing the categories below: | |
| | Category | Amount to Date |
| | Direct expenditures by your organization on supplies and/or services (please only include any expenditures paid by your organization for direct Hurricane Sandy relief and not grants or funding provided to individuals, businesses or other organizations) | \$560K |
| | Grants or funding provided to other organizations for Hurricane Sandy relief | \$0 |
| | Grants or funding provided to individuals, families or businesses for Hurricane Sandy relief (please include any items such as emergency cash grants, loans, gift/debit cards or similar assistance) | \$240K |
| | The value of any goods or services donated (in-kind) to your organization that were disbursed in response to Hurricane Sandy. | \$3M |
| | Other (please describe) | |
| 4. | Please describe the types of assistance that your has organization provided to those affected by Hurricane Sandy. What populations or geographical areas have been (or will be) served by your organization in response to Hurricane Sandy? | |
| | <p>Our Waves For Water Hurricane Sandy Relief Initiative efforts have affected the lives of approximately 175,000 individuals, families and businesses in the Rocakways and Long Beach, NY and from the Highlands to Ocean County in NJ, in the beach communities most affected by Sandy. The types of assistance include:</p> <p>Relief - Essential Supplies: Approximately \$3M in donations from across the USA have been distributed to individuals through our relief centers: products include clothing, cold weather items, food, toiletries, personal care items, baby supplies, cleaning supplies, tools, construction materials, safety supplies, etc.</p> | |

Relief - Volunteer efforts: 33,000+ W4W affiliated volunteer efforts in NY and NJ to date, helping the local communities in capacities ranging from essential supplies distributions and community support to demolition, digging out, gutting, cleaning up, canvassing, and homes and businesses restoration and rebuilds.

Rubble removal: 5250+ homes and businesses were worked on by Waves For Water affiliated volunteer projects, including 2800 homes dug out and 2450 homes in which demo, debris removal and/or rebuilds were done throughout NY and NJ.

Waves For Water Operated Relief Centers: We funded and currently operate 2 warehouses in NY and in NJ, used for essential supplies storage, coordination and distribution to 14 Waves For Water storage containers. Set up in the hardest hit communities, they allow supplies storage and distribution to our relief centers, who in turn distribute directly to the local populations.

- 1 Long Beach, NY.
- 1 Rockaway, NY.
- 2 Lavallette, NJ.
- 10 Ortley Beach, NJ.

Waves For Water Supported Relief Centers: Waves For Water supports 6 relief centers that have been instrumental in helping their respective communities, starting immediately after the storm and through ongoing efforts now oriented towards restoration and rebuild, by funding and providing support to some of their initiatives.

- Small Water Relief Center – Beach 96th Street, Rockaway NY.
- Rockaway Beach Surf Club – Beach 86th Street, Rockaway, NY.
- Sandy Help Long Beach Relief Center – Long Beach NY.
- Long Beach Island Relief Center – Long Beach Island NJ.
- Belmar Relief Center- Belmar NJ.
- Ortley Beach Bucket Brigade Relief Center – Ortley Beach NJ.

Operation Empower Local Initiatives: Waves For Water funds essential food programs that provide ongoing relief and an overwhelming sense of community and camaraderie to residents in areas where restaurants, delis and convenience stores damaged by Sandy have yet to reopen.

- **Rockaway Plate Lunch Truck (NY):** 60K+ meals distributed to Rockaway residents, including approximately 1500 Thanksgiving meals.
- **Small Water Relief Center– Beach 96th (NY):** 5K+ meals distributed to Rockaway residents and volunteers, as well as Thanksgiving and Holiday dinners.
- **Ortley Beach Bucket Brigade Relief Center (NJ):** 6K+ meals distributed to local residents and volunteers.

Operation Community Uplift Grants: Waves For Water awards monetary grants, providing a much needed influx of funds to individuals or businesses suffering financial hardship resulting from Sandy, that have been vetted through our criteria and local networks. Lack of insurance, denial by FEMA, business or job loss and health conditions, or general financial hardship preventing forward progress are some of the parameters we look for when selecting our recipients.

- New York: 11 grants awarded to individuals or families to date.
- New York: 2 grants awarded to businesses.

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| | <ul style="list-style-type: none"> ▪ New Jersey: 24 grants awarded to individuals or families to date. ▪ New Jersey: 2 grants awarded to businesses to date. <p>Operation Rebuild and Restore: Waves For Water provides monetary support to help reconstruct the homes or businesses — of individuals who are pillars of their communities and have been using all their resources helping with reconstruction since the storm, or of people who are now destitute — and shared community spaces like schools or firehouses, that have been identified and vetted through our networks.</p> <ul style="list-style-type: none"> ▪ New York: 4 home restorations underway. ▪ New York: 2 restorations underway, both a business and a firehouse. ▪ New Jersey: 6 home restorations underway. ▪ New Jersey: 6 business or firehouses restorations underway. <p>Geographical areas served:</p> <ul style="list-style-type: none"> ▪ New York: Long Beach, Far Rockaway, Rockaway, Belle Harbor, Coney Island, Staten Island. ▪ New Jersey: Atlantic Highlands, Monmouth Beach, Asbury Park, Belmar, Point Pleasant Beach, Brick, Toms River, Snug Harbor, Ortley Beach, Manahawkin, Beach Haven, Normandy Beach, Beach Haven Gardens, Hawthorne, Lavallette, North Beach Haven, Pine Beach, Beachwood, Seaside Heights, Belmar, Sea Isle City, Atlantic City and Ventnor in Monmouth, Ocean, Atlantic and Cape May Counties. |
| 5. | <p>Has your organization provided grants or funding to other organizations for Hurricane Sandy relief efforts? If so, which organizations have received those funds, what is the dollar amount provided to them and what is the intended use of the funds? What policies and procedures does your organization follow to determine the need for such funding and to monitor the use funds?</p> |
| | <p>To this day we have not provided grants or funding to any other organization. The funds received by Waves For Water Hurricane Sandy Relief Initiative are entirely dedicated to our relief projects and programs.</p> |
| 6. | <p>Has your organization provided direct grants or funding to individuals, families or businesses for Hurricane Sandy relief? If so, please describe the types of the assistance that your organization has provided to date. What policies and procedures does your organization follow to determine the need for such funding and to monitor the use funds? For the purposes of this question please include any items such as emergency cash assistance, loans, gift/debit cards or direct payment of expenses on behalf those impacted by Hurricane Sandy.</p> |
| | <p>Waves For Water has provided direct funding to individuals and businesses affected by Sandy through 2 monetary support programs that have been established as part of our Hurricane Sandy Relief Initiative.</p> <p>Operation Community Uplift Grants: Waves For Water awards monetary grants, providing a much needed influx of funds to individuals or businesses suffering financial hardship resulting from Sandy, that have been vetted through our criteria and local networks. Lack of insurance, denial by FEMA, business or job loss and health conditions, or</p> |

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| | <p>general financial hardship preventing forward progress are some of the parameters we look for when selecting our recipients.</p> <ul style="list-style-type: none"> ▪ New York: 11 grants awarded to individuals or families to date. ▪ New York: 2 grants awarded to businesses. ▪ New Jersey: 24 grants awarded to individuals or families to date. ▪ New Jersey: 2 grants awarded to businesses to date. <p>Operation Rebuild and Restore: Waves For Water provides monetary support to help reconstruct the homes or businesses — of individuals who are pillars of their communities and have been using all their resources helping with reconstruction since the storm, or of people who are now destitute — and shared community spaces like schools or firehouses, that have been identified and vetted through our networks.</p> <ul style="list-style-type: none"> ▪ New York: 4 home restorations underway. ▪ New York: 2 restorations underway, both a business and a firehouse. ▪ New Jersey: 6 home restorations underway. ▪ New Jersey: 6 business or firehouses restorations underway. <p>Waves For Water Founder Jon Rose is a fixture in the communities where we operate, splitting his time between these towns, and enabling us to truly connect with the communities, establish a sense of trust and rapport and provide a level of individual attention and personal care to all of our projects. We are oftentimes made aware of needs as a direct result of our first hand interactions, from our relief centers, from information passed by volunteer canvassing or from the individuals themselves once they hear via word of mouth about our efforts in their communities.</p> <p>The scope and form of our relief is evaluated on a case by case basis, allowing us to provide a level of sensitivity and individual attention when reviewing the projects we are presented with. All candidates are personally evaluated, vetted and approved for eligibility after personal interviews, site visits and the review of the statement of need they have to submit.</p> |
| 7. | <p>What is your organization's plan for using any surplus funds not spent for Hurricane Sandy relief?</p> |
| | <p>The needs are so great that we don't anticipate to ever having surplus funds. All funds raised or donated to Waves For Water Hurricane Sandy Relief Initiative are and will be spent on relief and rebuilding efforts.</p> |
| 8. | <p>Has your organization received funding from other organizations for Hurricane Sandy relief efforts? If so, please identify the organizations that provided the funds, the amount received and a description of how the funds have been or will be used.</p> |
| | <p>Waves for Water was the recipient of a \$25K grant by the Ford Foundation's Good Neighbor Committee, in recognition of the work being done to assist the communities impacted by Storm Sandy in the New York metropolitan area. The funds were used to support our ongoing relief initiatives in New York, primarily our local relief centers and the Rockaway Plate Lunch Truck project.</p> |
| 9. | <p>Is your organization still conducting fundraising for relief efforts? If so, please describe the methods by which you are raising funds.</p> |

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| | <p>We have been placing several grant proposals to support our operations and work on the ground. We also benefit from funds donated and fundraising initiatives conducted by individuals and businesses that choose to contribute to our efforts. These fundraising efforts are ongoing.</p> <ul style="list-style-type: none"> ▪ Individual or corporate monetary donations directly to our Sandy Relief Initiative through our website: http://www.wavesforwater.org/project/hurricane-sandy-relief-initiative. ▪ Individual or corporate fundraising pages created on our website http://www.wavesforwater.org/fundraisers. ▪ Individual or corporate fundraising events of which Waves For Water is the nominated beneficiary. ▪ Creation of capsule products or collaborations on product lines with artists or stores who donate the profits from their sales to Waves For Water. Sandy Relief Initiative. ▪ Grant applications to various entities that are actively supporting the rebuilding efforts on the New York and New Jersey coastline. |
| 10. | <p>Is your organization still conducting relief efforts? If so, please describe how individuals, businesses or organizations seeking assistance can contact your organization.</p> |
| | <p>Waves For Water relief effort is very active and ongoing. It will continue as long as we are able to collect the funds much needed to support it. Individuals, businesses or organizations seeking assistance can contact us through the following channels:</p> <ul style="list-style-type: none"> ▪ Hurricane Sandy Relief Initiative project page: http://www.wavesforwater.org/project/hurricane-sandy-relief-initiative ▪ Email: info@wavesforwater.org ▪ Connect with us through social media: http://www.facebook.com/wavesforwater https://twitter.com/wavesforwater |

Print Name and Title of Representative
Jon Rose, Founder and CEO

Date: March 22nd, 2013



Signature of Representative

Please email this document with your responses to sandyresponse@ag.ny.gov by March 22, 2013. You may obtain an electronic copy of this document by emailing a request to that same address.