

## Empire State Relief Fund

GENERAL INFORMATION	
<b>Organization Name</b>	Empire State Relief Fund
<b>Organization's Website</b>	www.empirestaterelief.com
<b>NYS Registration ID</b>	43-48-09
<b>EIN</b>	46-1354571
<b>Street Address 1</b>	P. O. Box 3750
<b>Street Address 2</b>	
<b>City</b>	New York
<b>State</b>	New York
<b>Zip Code</b>	10163
<b>Completed On:</b>	2/28/2014
<b>Contact Person</b>	Henry M. Greenberg
<b>Title of Contact Person</b>	Counsel for ESRF
<b>Email Address</b>	greenbergh@gtlaw.com
<b>Phone Number</b>	(518) 689-1492
<b>Name of Person Completing Survey</b>	Henry M. Greenberg
<b>Title</b>	Counsel for ESRF
<b>Timestamp</b>	2/28/2014 4:38:12 PM

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<b>DESCRIPTION OF EFFORTS</b>	
<p><b>Please describe the types of assistance that your organization has provided to those affected by Hurricane Sandy.</b></p>	<p>In general, ESRF uses funds received for Hurricane Sandy Relief to promote and assist in providing long-term housing and in rebuilding homes of New York residents affected by Hurricane Sandy.</p> <p>The first prong of ESRF's assistance efforts (ESRF Grants) sought to bridge the gap between the cost of repair or replacement of a home and the funds available to a homeowner by the Federal Emergency Management Agency (FEMA) to cover this cost. To that end, ESRF grants of up to \$10,000 have been awarded to affected homeowners who demonstrated a remaining unmet need for assistance after qualifying for, and receiving, the maximum assistance amounts available from relief programs administered by FEMA and the New York State Homeownership Repair and Rebuilding Fund (HRRF). A Memorandum of Understanding (MOU), effective on or about December 21, 2012, was entered into between ESRF and the New York State Housing Trust Fund Corporation (HTFC), under which HTFC would hold in trust funds of ESRF and administer the disbursement of ESRF Grants to eligible applicants who had qualified for and received the maximum applicable FEMA and HRRF assistance. The ESRF Grants were structured so that only those affected homeowners who qualified to receive FEMA relief under FEMA's guidelines could be eligible to receive an ESRF Grant. Due to the intricacies of the FEMA qualification criteria, not all homeowners who suffered damage due to Sandy actually qualified for FEMA relief. Consequently, these same homeowners were not eligible to receive ESRF Grants.</p> <p>In addition to providing funding for the ESRF Grants, in 2013 ESRF agreed to fund the following three programs, each of which were implemented through a MOU with HTFC, effective on or about July 25, 2013, under which HTFC would hold the applicable funds in trust for ESRF and administer disbursements of ESRF funds to eligible recipients, as follows:</p> <ul style="list-style-type: none"> <li>ò ESRF committed \$500,000 to the Center for New York City Neighborhoods, a 501(c)(3) charitable organization, to support its Neighborhood Recovery Fund recovery grant program, which provides grants and loans of up to \$5,000 to eligible homeowners in New York City who demonstrate a remaining unmet recovery need.</li> <li>ò ESRF committed \$1,500,000 for impacted homeowners in Long Island (Nassau and Suffolk Counties) who have a remaining unmet recovery need, but are ineligible for assistance under the federal Community Development Block Grant Disaster Recovery Assistance program.</li> <li>ò ESRF committed \$3,500,000 for three State-supervised Mitchell-Lama multi-family projects known as Harbor View, Sea Rise I and Sea Rise II located in the Coney Island neighborhood of Brooklyn. All of these properties suffered significant damage due to Sandy. Funds are to be provided for storm related repairs and improvements to the properties, after accounting for all other available sources of recovery aid, including FEMA aid and private insurance.</li> </ul>
<p><b>On what date did your organization begin work relating to Hurricane Sandy?</b></p>	12/13/2012
<p><b>Is your organization still conducting Hurricane Sandy related work?</b></p>	Yes
<p><b>On what date did your organization complete work relating to Hurricane Sandy? If not yet completed, please indicate when the work is expected to conclude.</b></p>	8/31/2014

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<b>Is your organization providing immediate or long-term relief?</b>	Yes
<b>Phone Number</b>	1-855-NYS-SANDY
<b>Website</b>	<a href="http://www.empirestaterelief.com">www.empirestaterelief.com</a>
<b>Email Address</b>	<a href="mailto:info@empirestaterelief.com">info@empirestaterelief.com</a>
<b>Special Instructions</b>	None
<b>What geographical areas have been (or will be) served by your organization in response to Hurricane Sandy?</b>	Manhattan, Queens, Brooklyn, Staten Island, Bronx, Nassau County, Suffolk County, Other Parts of New York State
<b>What kind of services have been provided by your organization in response to Hurricane Sandy?</b>	Housing Assistance, Rebuilding/Repair, Grants to other organizations, Grants to individuals/families, See above-described MOUs and related discussion.

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<b>FUNDRAISING</b>	
<b>Amount Raised (Cash, not Gift-in-Kind) for Hurricane Sandy</b>	15236320.02
<b>How much of those funds are/were restricted by donors for Hurricane Sandy related work?</b>	All of ESRF/AEs funds are used for Hurricane Sandy relief.
<b>Value of goods and services (in-kind) donated to your organization</b>	None
<b>Description of in-kind donations:</b>	ESRF has not received any in-kind donations.
<b>How in-kind donations were valued:</b>	
<b>Please indicate the 10 largest GIK contributors to your organization. For each contributor please include the name, address, telephone number, and the GIK value.</b>	N/A
<b>Please indicate the 10 largest recipients of GIK materials your organization distributed. For each recipient please include the name, address, telephone number, and the GIK value.</b>	N/A
<b>Is your organization still conducting fundraising for relief efforts?</b>	Yes
<b>If your organization is still conducting fundraising for relief efforts, please indicate the methods used:</b>	Online

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<b>EXPENDITURES</b>	
<b>How much has your organization spent or disbursed on Hurricane Sandy relief to date?</b>	14741353.27
<b>Total dollar amount made in grants to organizations</b>	\$0. As described above, ESRF and HTFC has entered into MOUs pursuant to which HTFC (not ESRF) makes grants of ESRF funds for a recovery fund grant program organized by the Center for New York City Neighborhoods and repairs and improvements to three Sandy-affected State-supervised Mitchell-Lama multi-family projects.
<b>Number of organization to which grants were provided</b>	None. See response to preceding question.
<b>Total dollar amount made in grants to individuals/households</b>	\$9,581,070.28.
<b>Number of individuals/households to which grants were provided</b>	1466
<b>Total amount spent on supplies purchased by your organization for Hurricane Sandy relief</b>	N/A
<b>Describe these supplies:</b>	N/A
<b>Total amount spent on shipping supplies</b>	N/A
<b>Total amount spent on transportation for staff and volunteers</b>	N/A
<b>Total amount spent on transportation for individuals impacted by Hurricane Sandy</b>	N/A
<b>Total amount spent on shelter/feeding for staff and volunteers</b>	N/A
<b>Total amount spent on shelter/feeding for individuals impacted by Hurricane Sandy</b>	N/A
<b>Total amount spent on storage/warehousing incurred only because of Hurricane Sandy</b>	N/A
<b>Total amount allocated for pre-existing storage/warehouse costs for which Hurricane Sandy funds were used</b>	N/A
<b>Total amount paid to third parties for services (e.g. payments to contractors, health professionals, etc.)</b>	N/A

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<b>State the name of the service provider and describe nature of services provided and the amount paid for these services by your organization</b>	N/A
<b>Total amount spent on personnel that were hired/employed in response to Hurricane Sandy</b>	N/A
<b>Personnel hired/employed prior to Hurricane Sandy for which Hurricane Sandy funds were allocated</b>	N/A
<b>Total amount spent on other administration/overhead</b>	40801.59
<b>Describe administration/overhead expenses</b>	<p>Text to donate fee -- \$1,584.70  Accounting fees -- \$10,000.00  Merchant processing fees -- \$10,757.89  Website operations -- \$14,000.00  Postage -- \$62.00  Insurance -- \$4,122.00  Charities Bureau Fee -- \$275.00  Total -- \$40,801.59  (Contributions by ESRF Board members vastly exceeded and covered ESRFÆs administration/overhead expenses.)</p>
<b>Total amount spent on other categories, not defined above</b>	N/A
<b>Please describe these expenses</b>	N/A

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<b>UNSPENT FUNDS AND PLANS</b>	
<b>What is the dollar amount of Hurricane Sandy funds yet to be spent by your organization?</b>	557989.16
<b>What is your organization's plan for using any remaining funds not spent for Hurricane Sandy relief?</b>	ESRF, working in conjunction with HTFC, expects to complete distribution of all ESRF funds by the Summer of 2014.